SEC Form 4	
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## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden

0.5

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Check this box if no longer subject to	S
Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1 Name and Addre	ss of Reporting Perso	n*	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer					
<u>Millar Kerri-Ann</u>			Cue Biopharma, Inc. [ CUE ]	ľ	all applicable) Director Officer (give title	10% Owner Other (specify			
(Last) C/O CUE BIOP 21 ERIE STREI		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 08/23/2021	- X	below) CHIEF FINANCIAL	below)			
(Street) CAMBRIDGE	МА	02139	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing Form filed by One Repo Form filed by More than Person	rting Person			
(City)	(State)	(Zip)							

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V Amount (A) or (D) Price Reported Transaction(s) (Instr. 3 and 4)			(1150.4)				
Common Stock	08/23/2021		М		<b>6,6</b> 67 <sup>(1)</sup>	A	\$0.00	12,433	D	
Common Stock	08/23/2021		F		1,961	D	\$0.00	10,472	D	
Common Stock	10/01/2021		M <sup>(2)</sup>		1,251	A	\$7.5	11,723	D	
Common Stock	10/01/2021		<b>S</b> <sup>(2)</sup>		1,251	D	<b>\$14.2149</b> <sup>(3)</sup>	10,472	D	

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			(*.9.,	p,	•••••	,		,	,								
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deri Sec Acq (A) Disp of (I	oosed D) tr. 3, 4	Expiration Date (Month/Day/Year)		Expiration Date		te Amount of		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares						
Restricted Stock Units	(4)	08/23/2021		М			6,667	(5)	(5)	Common Stock	6,667	\$0.00	6,667	D			
Stock Option (right to buy)	\$7.5	10/01/2021		M <sup>(2)</sup>			1,251	(6)	12/27/2024	Common Stock	1,251	\$0.00	53,749	D			

### **Explanation of Responses:**

1. Represents shares of common stock received upon vesting of a restricted stock unit award.

2. The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 16, 2021.

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$14.01 to \$14.46, inclusive. The reporting person undertakes to provide to Cue Biopharma, Inc., any security holder of Cue Biopharma, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote (3) to this Form 4.

4. Each restricted stock unit award represents a contingent right to receive one share of common stock.

5. Represents a restricted stock unit award granted on August 21, 2020. The restricted stock units vest in three equal annual installments beginning on August 21, 2020.

6. The option vests in eight equal semi-annual installments beginning March 25, 2018.

### **Remarks:**

/s/ Kerri-Ann Millar by Colin 10/05/2021

Sandercock, attorney-in-fact

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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